D STATES PATENT AND TRADEMARK OFFICE

In re the Application of: IZUMI, Katsutoshi, et al.

Group Art Unit: 2815

Serial No.: 10/699,832

Examiner: Jerome Jackson Jr.

Filed: November 4, 2003

P.T.O. Confirmation No.: 5574

For.

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MONOCRYSTALLINE GALLIUM NITRIDE LOCALIZED SUBSTRATE AND MANUFACTURING METHOD THEREOF

INFORMATION DISCLOSURE STATEMENT AND STATEMENT PURSUANT TO 37 CFR 1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

August 13, 2007

Sir:

The attention of the Patent and Trademark Office is hereby directed to the document listed on the attached Form PTO-1449. One copy of the document is attached.

Document AF was cited in a Chinese Office Action dated June 1, 2007. A copy of the Office Action and an English translation thereof is also enclosed herewith.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

XX that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first

page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449; Reference (1)

Chinese Office Action with English translation

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| INFORMATION | Atty. Docket No. 031258 | Serial No. 10/699,832 | |
|------------------------------|---|-----------------------|--|
| DISCLOSURE CITATION PTO-1449 | Applicant(s): IZUMI, Katsutoshi, et al. | | |
| | Filing Date: November 4, 2003 | Group Art Unit: 2815 | |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
|---------------------|----|--------------|------|------|-------|----------|---------------------------------|
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FOREIGN PATENT DOCUMENTS

| | Document No. | Date | Country | Translation (Yes or No) |
|--------|--------------|----------|---------|----------------------------|
| AF | 8-56015 | 02/27/96 | Japan | Yes. |
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OTHER DOCUMENTS

| | AK | Chinese Office Action dated June 1, 2007 with English translation. |
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| | AL | |
| Examiner | l . | Date Considered |